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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): LOTSPIECH) Art Unit: Unk. 9-23-02
Serial No.: 09/770,877) Examiner: Unk.
Filing Date: January 26, 2001) Atty. Docket#: ARC920010005US1
For: METHOD FOR BROADCAST ENCRYPTION) September 10, 2002
AND KEY REVOCATION OF STATELESS) 750 "B" Street, Suite 3120
RECEIVERS) San Diego, CA 92101

TRANSMITTAL LETTER AND SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT

RECEIVED

Assistant Commissioner for Patents
Washington, DC 20231

SEP 17 2002

Technology Center 2100

Dear Sir:

Pursuant to Sections 1.97, 1.98, and 1.99 of the Rules of Practice in patent cases, enclosed are copies of the references and patents cited on the "List of References Cited by Applicant," Form PTO-1449, submitted herewith.

Eight sheets of formal drawings and an acknowledgment postcard are also enclosed.

Respectfully submitted,

John L. Rogitz
Attorney of Record
Registration No. 33,549
750 "B" Street, Suite 3120
San Diego, CA 92101
Telephone: (619) 338-8075

JLR/jg
Encs.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service, First Class Mail, postage fully prepaid, under 37 CFR 1.8, addressed to Box Non-Fee Amendment, Assistant Commissioner for Patents, Washington, D.C. 20231 on SEPTEMBER 10, 2002.

Date Signed: SEPTEMBER 10, 2002

JOHN L. ROGITZ, Attorney of Record
Registration No. 33,549



Form PTO-1449 (modified)

Attorney Docket No.
ARC920010005US1Serial No.
09/770,877LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Applicant(s): LOTSPIECH et al.

Filing Date:
01/26/01Group Art Unit:
2132

U.S. PATENT DOCUMENTS

Examiner Initials	Document No.	Date	Name	Class	Subclass	Filing Date
						SEP 17 2002

FOREIGN PATENT DOCUMENTS

Technology Center 2100

Examiner Initials	Document No.	Date	Country	Class	Subclass	Translation Yes No
	0 641 103 A	03/01/95	Europe	H04L9/08		Yes

PUBLICATIONS / OTHER ART

Examiner Initials	Citation
	Abdalla M. et al. "Key Management for Restricted Multicast Using Broadcast Encryption," IEEE/ACM Transactions on Networking, IEEE Inc. New York, U.S. Vol. 8 pp. 443-454. August 2000.
	Blundo C. et al. "Trade-Offs Between Communication and Storage in Unconditionally Secure Schemes for Broadcast Encryption and Interactive Key Distribution." Advances in Cryptology, Crypto '96. Proceedings of the 16th annual International Cryptology Conf. pp. 387-400. Santa Barbara, U.S. August 1996.
	Chor B. et al. "Tracing Traitors". Advances in Cryptology. Proceedings of the 14th annual International Cryptology Conf. pp. 257-270. Santa Barbara, U.S. August 1994.

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).